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| | VART KOLASCH & | CLEVELAND, MICHAEL B | | |
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Please find below and/or attached an Office communication concerning this application or proceeding.

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|---|---|--|--|--|--|--|
| | Applicati n N . | Applicant(s) | | | | |
| | 09/837,388 | LEE ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | Michael Cleveland | 1762 | | | | |
| The MAILING DATE of this communication app Period for Reply | ears on the cover sheet with the c | orresp ndence address | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be ting within the statutory minimum of thirty (30) day ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133). | | | | |
| Status | | | | | | |
| Responsive to communication(s) filed on 10 December 2a) ☐ This action is FINAL. 2b) ☐ This 3) ☐ Since this application is in condition for alloware closed in accordance with the practice under Expensive 1. | action is non-final. nce except for formal matters, pro | | | | | |
| Disposition of Claims | | | | | | |
| 4) ☐ Claim(s) 1-25 is/are pending in the application. 4a) Of the above claim(s) 1-8 is/are withdrawn is 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 9-25 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or Application Papers | from consideration. | | | | | |
| 9) The specification is objected to by the Examine | r. | | | | | |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the | | | | | | |
| Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex | | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the priority application from the International Bureau * See the attached detailed Office action for a list | s have been received. s have been received in Application rity documents have been received (PCT Rule 17.2(a)). | ion No ed in this National Stage | | | | |
| Attachment(s) | | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | 4) Interview Summary Paper No(s)/Mail D | | | | | |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date | | Patent Application (PTO-152) | | | | |

DETAILED ACTION

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Election/Restrictions

1. Claims 1-8 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking claim.

Applicant timely traversed the restriction (election) requirement in Paper No. 5.

Definitions

2. "Letterpress" is defined by Merriam-Webster's Collegiate Dictionary, 10 edn., as "the process of printing from an inked raised surface esp. when the paper is impressed directly on the surface" (in contrast to "intaglio": "printing (as in die stamping and gravure) done from a plate in which the image is sunk below the surface"). "Flexography" is defined as "a process of rotary letterpress printing using flexible plates and fast drying inks".

Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claims 9-25 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 5. The term "minute" in claims 9-25 is a relative term which renders the claim indefinite. The term "minute" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. Based on the disclosure, the Examiner has interpreted the typical size of pixels of electroluminescent display devices to fulfill the criterion of "minute".

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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- 7. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 8. Claims 9, 17-18, 22, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pei et al. (U.S. Patent 5,682,043, hereafter '043) in view of Wright (U.S. Patent 3,661,081, hereafter '081) and Spencer (U.S. Patent 5,827,577, hereafter '577). Ireton (U.S. Patent 4,611,539, hereafter '539) is cited as evidence.

'043 teaches a method of patterning an electroluminescent (EL) display (cols. 1-2), comprising:

flexographic printing a semiconductor ink (col. 10, lines 14-28), which is the lightemitting layer (col. 7, line 13-col. 9, line 28).

Ireton '539 teaches that flexography is understood in the art to mean

providing a flexible printing plate (i.e., a molding plate) adhered to (i.e., disposed on) a plate cylinder or printing roller (i.e., a molding roller), said molding plate having a raised image (i.e., convex and concave portions, with the convex portion (the raise image) defining lands), applying the ink to the raised portion (i.e., each land of the convex portion of the molding plate) and printing the ink from the molding plate onto a substrate by rotating the roller so that the land on each convex portion contacts the substrate.

'043 (and the definition given by Ireton) does not explicitly teach a plurality of convex and concave portions. However, '043 does indicate that different inks may be desired in different locations (col. 7, lines 12-20). Wright '081 illustrates a flexographic process and makes it clear that there may be a plurality of convex printing portions (5) and concave non-printing

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portions (6). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used a flexographic plate with a plurality of convex and concave regions with a reasonable expectation of success because '043 indicates that areas with different properties are desired and because '081 teaches that a method of depositing inks in desired areas is to have a plurality of convex and concave regions.

'043 and '539 do not explicitly teach that the printing roller is disposed above the substrate. However, the Examiner takes Official Notice that it is well known in the art of flexographic printing to dispose the printing roller above the substrate. See, for instance, Spencer '577, Figs. 2 and 3, and col. 7, lines 22-60. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used a printing roller above the substrate instead to one side of the substrate with a reasonable expectation of success and with the expectation of similar results because '577 teaches that using the roller above the substrate is an operative configuration for printing on the substrate.

Claim 17: '043 teaches that the polymer may be applied in solution (col. 10, lines 14-17).

Claim 18: '081 teaches that the ink may be supplied to the convex portions of the flexographic roller by rotating it and a supply roller (9) (Fig. 1, col. 3, lines 41-49).

Claim 22: '043 teaches that the layer may be 500 angstroms thick (col. 11, lines 11-13).

Claim 25: '043 teaches that the substrate may be glass (col. 12, lines 27-30).

9. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Pei '043 in view of Wright '081 and Spencer '577 as applied to claim 9 above, and further in view of Himeshima et al. (U.S. Patent 6,592,933, hereafter '933).

Claims 10: '043 teaches the features of claim 9, as discussed above. It teaches that different materials may be printed in different locations, for example, to apply different colors (col. 7, lines 12-20). It does not explicitly teach that the colors are red, blue, and green. However, the Examiner takes Official Notice that it is notoriously well known in the art of electroluminescent devices to use red, green, and blue as the colors because red, green, and blue light can be combined to create any color of light. See, for example, '933, col. 5, lines 22-26.

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10. Claims 11-16 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pei '043 in view of Wright '081, Spencer '577, and Himeshima '933 as applied to claim 10 above, and further in view of Shinoda (U.S. Patent 5,674,553, hereafter '553).

'043 teaches the features of claim 9, as discussed above. It teaches that different materials may be printed in different locations, for example, to apply different colors (col. 7, lines 12-20). It does not explicitly teach the use of barrier ribs between pixels. However, the Examiner takes Official Notice that it is notoriously well known in the art of electroluminescent devices to use barrier ribs between pixels of different colors in order to provide contrast between the pixels. See, for example, '933, col. 9, lines 34-37.

'933 does not explicitly teach that the barrier ribs are between pixel electrodes on which the El material is deposited. However, '553 teaches an alternate arrangement for spacers and EL layers of EL devices. '553 teaches that pixel electrodes (22) may be formed between barrier ribs (29). See Fig. 20 and 22C. The selection of something based on its known suitability for its intended use has been held to support a *prima facie* case of obviousness. *Sinclair & Carroll Co. v. Interchemical Corp.*, 325 U.S. 327, 65 USPQ 297 (1945). See MPEP 2144.07. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used the method of '043 and '081 to have printed pixels on electrodes between barrier ribs because '553 teaches that such is an operative formation for particular EL devices.

Claims 12 and 20: The barrier ribs of '553 form striped boundaries between pixels. '933 teaches alternate arrangements for spacers and EL layers of EL devices.

Claim 13: '933 teaches the use of barrier ribs comprising first spacers (3) and second spacers (4) (col. 9, lines 1-20). '933 teaches that an upper portion of the barrier ribs (3) may overlap the edge of pixel electrodes (2) (See Fig. 14) to form an inter-layer insulation layer (col. 9, lines 13-15). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used overlapped the pixel electrodes of '504 with an upper portion of its barrier ribs 5 because '933 indicated that such a configuration would have advantageously provided an inter-layer insulation layer.

Claim 14: '553 teaches that the height of the barrier rib is larger than the combined thickness of the EL material and pixel electrode. See Fig. 20.

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Claims 15-16: '933 teaches a list of known materials for spacers in EL devices. The spacers include glass (SiO₂) and polyimide (col. 9, lines 21-46).

11. Claims 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Pei '043 in view of Wright '081 and Spencer '577 as applied to claim 9 above, and further in view of Mourrellone (U.S. Patent 4,542,693, hereafter '693).

'043 and '081 teach the features of claim 18, as discussed above. '081 teaches that the amount of ink on the supply roller may be controlled, but the references do not explicitly teach causing the EL material to have a uniform thickness on the supply roller.

'693 teaches for a device comprising a letterpress (col. 1, lines 1-16) ink cylinder (T) and supply roller (A) that the provision of an equalizing roller (9) that provides an ink layer of uniform thickness on supply roller (A) (claim 8) advantageously improves the regularity of ink application and avoids the formation of undesired stripes (col. 7, lines 10-13).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have caused the EL ink of '504 to have had a uniform thickness on the supply roller by using the equalizing roller of '693 because '693 teaches that such an equalizing roller would have improved the regularity of the ink application and avoided the formation of undesired stripes.

12. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Pei '043 in view of Wright '081, Himeshima '933, Spencer '577, and Shinoda '553 as applied to claim 11 above, and further in view of Nagayama et al. (U.S. Patent 5,701,055, hereafter '055).

'043, '081, '933, and '553 are discussed above, but do not explicitly teach that the barrier ribs are in the form of a matrix. However, '055 teaches an alternate arrangement for spacers and EL layers of EL devices. '055 teaches that pixel electrodes (22) may be a matrix between pixels. See Figs. 1 and 19. The selection of something based on its known suitability for its intended use has been held to support a *prima facie* case of obviousness.' Sinclair & Carroll Co. v. Interchemical Corp., 325 U.S. 327, 65 USPQ 297 (1945). See MPEP 2144.07. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to

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have used the method of '043 and '081 to have printed pixels on electrodes between a matrix of barrier ribs because '055 teaches that such is an operative formation for particular EL devices.

13. Claims 23-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pei '043 in view of Wright '081 and Spencer '577 as applied to claim 9 above, and further in view of Watanabe et al. (U.S. Patent 5,270,846, hereafter '846).

'043 and '081 teach the features of claim 9, as discussed above. '081 teaches that flexographic inks assume level surfaces (col. 1, lines 23-26), but does not explicitly teach that the link levels after printing. However, '846 also teaches that inks printed from rollers may also be leveled after printing (col. 12, lines 28-32). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have leveled the surface on the ink after printing in order to have achieved the desired thickness.

Claim 24: '043 teaches that the layers are heated after printing (col. 11, lines 11-15).

Response to Arguments

14. Applicant's arguments filed 11/10/2004 have been fully considered but they are not persuasive.

Applicant argues that Pei does not contemplate patterning the EL layer. The Examiner disagrees because Pei, col. 7, lines 12-21 contemplates a multi-color display. While Pei does not explicitly teach that the multi-color display is created by the formation of a large number of green, red, and blue pixels that can be combined to form different colors of light in different locations, it is the Examiner's position that the pixellated nature of color displays is so notoriously well known in the art of color display devices that the disclosure of Pei is sufficient to remind one of ordinary skill in the art of such pixels. The Examiner notes that the other references of record, such as Himeshima and Shinoda more clearly display such pixels.

Applicant's arguments regarding the relative position of the substrate and molding roller are unconvincing because such printing orientation is well known in the art of printing. See Spencer, discussed above, or the Figures of Bayer, Jr. (U.S. Patent 5,597,618) and Pappas et al. (U.S. Patent 5,162,119).

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Conclusion

15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Bayer, Jr. (U.S. Patent 5,597,618) and Pappas et al. (U.S. Patent 5,162,119) are cited for their teachings regarding the relative orientation of substrates and printer rollers.

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Cleveland whose telephone number is (571) 272-1418. The examiner can normally be reached on Monday-Thursday, 7-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shrive Beck can be reached on (571) 272-1415. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Primary Examiner
Art Unit 1762

1/26/2005